

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/823,369
Filing Date	April 13, 2004
First Named Inventor	Marc Richelsoph
Art Unit	3732
Examiner Name	Stokes, Candice Capri
Attorney Docket No.	BBM-142US1

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form (and 1 copy)
☐ Fee Attached
- ☐ Amendment/Reply
☐ After Final
☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
Incomplete Application
☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☒ Power of Attorney, Revocation,
Change of Correspondence
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- ☐ Appeal Communication to TC
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Brief)
- ☐ Proprietary Information
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identify below): Return receipt
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Remarks:**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm Name RatnerPrestia

Signature

Printed Name Christopher A. Rothe

Date June 21, 2006

Registration No. 54,650

CERTIFICATE OF TRANSMISSION / MAILING

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Signature

Typed or Printed Name

Juli Lawrence

Date

June 21, 2006

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Approved for use through 11/30/2005. OMB 0651-0035

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**POWER OF ATTORNEY
AND
CORRESPONDENCE ADDRESS
INDICATION FORM**

Patent Number	10/823,369
Issued	
First Named Inventor	Marc Richelsoph
Title	Screw and Rod Fixation Assembly and Device
Art Unit	3732
Examiner Name	Stokes, Candice Capri
Attorney Docket Number	BBM-142US1

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number:

23122

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

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☐ The address associated with Customer Number:

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☐ Firm or
Individual Name

Address

City

Country

State

Zip

Telephone

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Signature		Date	5/26/06
Name	Dirk M. Kuypers	Telephone	610-797-9300
Title and Company	President, Aesculap II, Inc.		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of ____ forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Marc Richelsoph

Application No./Patent No.: 10/823,369

Filed/Issue Date: April 13, 2004

Entitled: Screw and Rod Fixation Assembly and Device

Aesculap II, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; OR
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Marc Richelsoph To: Spinal Innovations, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 012765, Frame 0933, or for which a copy thereof is attached.
2. From: Marc Richelsoph To: Spinal Innovations, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 013031, Frame 0120, or for which a copy thereof is attached.
3. From: Spinal Innovations, Inc. To: Spinal Innovations, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 013960, Frame 0380 or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Dirk M. Kuyper

Printed or Typed Name

President, Aesculap II, Inc.

Title

5/26/06

Date

610-797-9300

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SUPPLEMENTAL SHEET
STATEMENT UNDER 37 CFR 3.73(b)

4. From: Spinal Innovations, Inc., Spinal Innovations, LLC; Spinal Innovations Holdings, LLC
To: Aesculap II, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 016427, Frame 0959 or for which a copy thereof is attached.